7057-001-95X

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TRADEMANT ON THE UNITED STA

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

KRZYSZTOF MATYJASZEWSKI ET AL: GROUP ART UNIT: 1505

SERIAL NO. 08/414,415559,309 //

FILED: MARCH 31, 1995 : EXAMINER: CHENG

FOR: NOVEL (CO)POLYMERS AND A NOVEL
POLYMERIZATION PROCESS BASED ON

POLYMERIZATION PROCESS BASED ON ATOM (OR GROUP) TRANSFER RADICAL

POLYMERIZATION

RECEIVED

17 ו OCT

GROUP 1500

WITHDRAWAL OF SMALL ENTITY STATUS AND PAYMENT OF BACK FEES

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicants hereby notify the U.S. Patent and Trademark Office that the above-identified application is no longer eligible for Small Entity Status. The Small Entity Status of this application was proper when filed. However, Applicants' representative learned during a meeting in July, 1997 that an agreement had been signed on April 4, 1996 forming a Consortium

of several companies that do not qualify for Small Entity Status. The Consortium Agreement 0/15/1997 DTHOMAS 00000066 DAB: 150030 08414415 10.00 CH 195.00 DP

gave these companies access to the technology in this application.

At the time of the completion of the Agreement, Applicants did not know that this Consortium Agreement would affect the small entity status of this application. Once Applicants' representative learned of this agreement and investigated its terms, it became clear that the Consortium Agreement resulted in the present application no longer being eligible for small entity status.

Applicants note that the only government fees incurred since the Consortium Agreement

was signed were fees paid for a two month extension of time for responding to the Official Action dated November 14, 1996. As such, Applicants provide herewith an additional \$195.00 to pay the difference between small and large entity status for a two month extension.

Since the failure to notify the Office of the change in status occurred in good faith and without deceptive intent, in accordance with 37 CFR 1.28(c), the Office is respectfully requested to excuse this error and convert the present application to large entity status without prejudice.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

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